Inside Alternatives 2016 Conference

AGENDA | September 19, 2016

7:00 am - 8:00 am
Breakfast In Exhibit Hall

8:00 am - 8:10 am
Opening Remarks And Chairperson Welcome

8:10 am - 9:00 am
OPENING KEYNOTE
Oil Will Be Well
IMCA and CFP Board CE Credit Approved
No doubt there has been a slate of things to fret about in the past year from the Fed to Greece to China to oil. There is no doubt that the primary driver of the markets and economy has been the bursting of the oil bubble, the third most powerful bursting of a bubble in modern history. The good news is that this was a supply shock, not a demand shock, and the supply curtailment has established a floor. We should expect more volatility but at a higher range than the past six months, and enough to stabilize the markets and alleviate recession concerns. Money will be made understanding how history tells us what works and what doesn't work in the aftermath of the bottom in the oil price following a bubble burst. The cash flow that was saved during the burst is about to be spent now that oil has found its low ... be long the U.S. consumer.
Speakers:
David Rosenberg, Gluskin Sheff + Associates Inc.

9:05 am - 9:55 am
SESSION A
Volatility Strategies: Brexit, The Election And Beyond
IMCA and CFP Board CE Credit Approved
Volatility is an unavoidable reality in today's fast-moving markets and clients are expecting near and long-term solutions from their advisors. Our panelists offer an expert view into new and innovative ways to help advisors manage volatility as an asset class and smooth portfolio performance in even the most turbulent times.
Moderator:
Eric Cott, Options Industry Council
Speakers:
Taylor Lukof, ABR Dynamic Funds
Marc Dummer, Principal Global Investors
Randy Swan, Swan Global Investments

SESSION B
Peer-To-Peer Lending
IMCA and CFP Board CE Credit Approved
Retail investors, big institutions and hedge funds have invested in loans made through peer-to-peer lending. Learn more about peer-to-peer lending and whether investing in these loans is right for your clients.
Moderator:
Jeff Schlegel, *Financial Advisor* and *Private Wealth* Magazines
Speakers:
Brendan Ross, Direct Lending Investments
Matt Heffernan, Lending Club
Laura Catalino, Money360

Impact/SRI & ESG Investing Session
The Disconnect Between Financial Advisors And Clients
IMCA and CFP Board CE Credit Approved
A majority of clients are asking for impact investments yet only a minority of FAs are servicing them. Why the gap?
Speakers:
Justin Conway, Calvert Foundation
Paul A. Hilton, Trillium Asset Management

10:00 am - 10:30 am
Morning Network Break With Exhibitors

10:35 am - 11:25 am
SESSION A
How Alternatives Enhance Asset Allocation
IMCA and CFP Board CE Credit Approved
What should you consider when you want to use alternatives to improve asset allocation for your clients? Carefully selected alternative investments can provide alpha and act as hedges, and can be useful in smoothing returns.
Moderator:
William J. Kelly, CAIA Association
Speakers:
Benjamin Deschaine, Balter Liquid Alternatives
Anthony Caine, LJM Funds Management
Randy Zisler, Baceline Investments

SESSION B
Long-Short Equity: Why The Time Is Right
IMCA and CFP Board CE Credit Approved
Investment choices have opened up around the world, but it takes a skilled manager to evaluate them, especially in such a volatile world market. Our panel will discuss domestic and global opportunities and strategies.
Moderator:
Jonathan Belanger, AlphaCore
Speakers:
Gordon Johnson, LMCG Investments
Adam Barth, Gotham Capital
Cliff Stanton, 361 Capital

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Impact/SRI & ESG Investing Session
A Plethora Of ESG & Impact Investments
Now Exist For Retail Investors
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Via which platforms, exchanges and broker-dealers can investors find them?
Speakers:
Mark A. Regier, Praxis Mutual Funds
Craig Churman, Saturna Capital
Patrick T. Drum, Saturna Capital

11:30 am - 12:20 pm
SESSION A
Alternative Credit Strategies
IMCA and CFP Board CE Credit Approved
In a world where income is increasingly scarce, alternative strategies can provide clients with yield many more traditional fixed-income asset classes can't. These vehicles include long-short strategies, asset-based loans and mezzanine debt, among others. This session will examine opportunities in the credit arena that can be an important part of fixed-income allocations.
Moderator:
Kunal Shah, iCapital Network
Speakers:
Guy Benstead, Cedar Ridge
Aaron Peck, Monroe Capital
John Conner, Collins Capital

SESSION B
Managed Futures Return To Center Stage
IMCA and CFP Board CE Credit Approved
The strategy has evolved over time and has become synonymous with systematic trend following. However, the managed futures category encompasses many other strategies that are uncorrelated with equity markets as well as other alternative categories.
Moderator:
Stephen Scott, Longboard
Speakers:
Alan Dunne, Abbey Capital
Martin Bergin, DUNN Capital Management, LLC
Jerry Szilagyi, Catalysts Mutual Funds

Impact/SRI & ESG Investing Session
Impact Investments Can Outperform Traditional Securities
IMCA and CFP Board CE Credit Approved
Which investments—private equity, debt, or alternatives—are outshining their common peers?
Speakers:
Abhilash Mudaliar, GIIN
David Sher, Greenbacker

12:20 pm - 1:30 pm
Lunch Keynote
A Conversation With **Dennis Gartman** And **Mark Yusko**
IMCA CE Credit Approved
The editor and publisher of The Gartman Letter and the founder, CIO and CEO of Morgan Creek Capital provide their insight on the markets and what investors should consider less than two months before the U.S. Presidential election.
Moderator:
Evan Simonoff, *Financial Advisor* and *Private Wealth* Magazines
Speakers:
Dennis Gartman, *The Gartman Letter*
Mark Yusko, Morgan Creek Capital Mtanagement, LLC

1:30 pm - 2:05 pm
Dessert Break With Exhibitors

2:10 pm - 3:00 pm
SESSION A
Gold And Precious Metals Makes A Comeback
IMCA and CFP Board CE Credit Approved
After four rough years, gold is starting to shine as global political and economic uncertainty spikes. What is driving the rebound and is it sustainable? Long viewed as the ultimate insurance policy on financial assets, hear what the pros think now.
Moderator:
Ron DeLegge, ETF Guide
Speakers:
Trey Reik, Sprott Asset Management
Axel Merk, Merk Investments

SESSION B
ETFs, ETNs And Hedge Fund Mutual Funds
IMCA and CFP Board CE Credit Approved
ETFs, ETNs and hedge fund mutual funds can provide alpha with more liquidity than other alternatives. This panel will address how "liquid alternatives" can diversify client assets and improve risk-adjusted returns.
Moderator:
Jeff Schlegel, *Financial Advisor* and *Private Wealth* Magazines
Speakers:
Casey Brunner, The Rock Creek Group
Dominick Paoloni, IPS Strategic Capital
Randy Swan, Swan Global Investments

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Impact/SRI & ESG Investing Session
For Those Seeking Non-Correlated Assets,
Impact Investments Fit The Bill
IMCA and CFP Board CE Credit Approved
How to construct portfolios while lowering risk using impact investments.
Speakers:
Andrew Shafter, Community Capital Management
Abdur Nimeri, Ph.D., FlexShares

3:05pm - 3:55 pm
SESSION A
Why Real Estate May Remain Hot
IMCA and CFP Board CE Credit Approved
A flood of foreign money continues to fuel many real estate markets. Making REITs their own separate group within the S&P 500 may also add momentum to the category. Experts will debate the underlying fundamentals that can help you understand what types of property investments are best poised for the future.
Moderator:
Jay Rollins, JCR Capital
Speakers:
Terrell Gates, Virtus Real Estate
Michael Schwaab, TIAA Global Asset Management
Burl East, American Assets Capital Advisers and Altegris/AACA Opportunistic Real Estate Fund

SESSION B
Researching Alternatives
IMCA and CFP Board CE Credit Approved
An expert leads an all-star panel on evaluating alternatives and finding strategies that complement each other and deliver desirable returns while minimizing risk.
Moderator:
Rick Lake, Lake Partners
Speakers:
Jeff Ringdahl, American Beacon, Inc.
Jason Steuerwalt, Litman Gregory
Erin Balcaitis, Jackson National

Impact/SRI & ESG Investing Session
Impact & Effectl
IMCA CE Credit Approved
How can active ownership promulgate gender equality and create impact across a broad range of social issues?
Moderator:
Perth Tolle, Life + Liberty Indexes
Speakers:
Gloria S. Nelund, TriLinc Global
Tracey Rembert, Christian Brothers Investment Services
Luan Jenifer, Miller/Howard Investments

4:00 pm - 4:35 pm
Afternoon Networking Break With Exhibitors

4:40 pm - 5:30 pm
SESSION A
Energy Investing After The Fall
IMCA and CFP Board CE Credit Approved
Energy producers and pipeline companies were crushed earlier this year, and some observers called it a buying opportunity. Was it? The companies in this sector have long been reliable income producers for investors. Our panelists look at opportunities in this sector.
Moderator:
Ron DeLegge, ETFguide
Speakers:
Kevin Baum, USCF
John Cusick, Miller/Howard Investments
Jeremy Held, Alps Portfolio Solutions

SESSION B
Private Equity And Venture Capital Opportunities
IMCA and CFP Board CE Credit Approved
These investments are often best for your high-net-worth clients. Learn what the current opportunities are from investment managers who evaluate such options.
Moderator:
Evan Simonoff, *Financial Advisor* and *Private Wealth* Magazines
Speakers:
Kerry Jordan, D'Orazio Capital Partners
Kunal Shah, iCapital Network
Kirk Strawn, Altegris

Impact/SRI & ESG Investing Session
More Than $300 Billion In Philanthropic Funding
Could Be Re-Directed To Impact Investments
IMCA Board CE Credit Approved
A better understanding of charitable giving and goals could foster a sea change in the amount of impact investing's total assets under management.
Speakers:
Liz Sessler, ImpactUs

5:30 pm - 6:30 pm
Cocktail Reception

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7:00 am - 8:00 am
Breakfast With Exhibitors

8:00 am - 8:10 am
Chairperson Recap

8:15 am - 9:15 am
Keynote
The Long And Short World View
IMCA and CFP Board CE Credit Approved
Leading top investment officers discuss their global outlook and long-short strategies.
Moderator:
Evan Simonoff, *Financial Advisor* and *Private Wealth* Magazines
Speakers:
Harindra de Silva, Ph.D., Analytic Investors LLC, Sub-Advisor to the 361 Capital Long/Short Equity Strategies
David Rosenberg, Gluskin Sheff + Associates Inc.
Ali Motamed, Invenomic Capital

9:20 am - 10:10 am
SESSION A
Putting Alternatives Into Practice: An Advisor's Perspective
IMCA and CFP Board CE Credit Approved
Once advisors decide to use alternatives, they need to figure out how to research and select specific investments and determine where they fit in a client's overall asset allocation plan. In this session, several experienced leading advisors will discuss why they use alternatives, how they elect them and what asset classes alternatives replace as a substitute.
Moderator:
Patrick Hughes, PENSCO
Speakers:
Michael Kalscheur, Castle Wealth Advisors
Darren Nyce, Castle Wealth Advisors
Daniel Roe, Budros, Ruhlin & Roe

SESSION B
BDCs For Income-Starved Clients
IMCA and CFP Board CE Credit Approved
Closed-end funds that primarily lend to small- and mid-sized firms, business development companies pay out 90% of their income and many provide very attractive yields for investors. However, not all BDCs are the same or offer the same quality of investments. Learn what to look for in evaluating these alternatives.
Moderator:
Michael Zmistowski, Financial Planning Advisors
Speakers:
Sean Hickham, CNLFinancial Group
Tom Alonso, Prospect Street
Brody Browe, Franklin Square

10:15 am - 11:05 am
SESSION A
New Uses For Smart Beta
IMCA and CFP Board CE Credit Approved
In the last five years, rules-based investing has emerged as alternative to what some view as the subjective nature of active investing and the robotic character of passive investing. Many of these rules-based strategies, including low volatility and fundamental indexing, fall into the category of smart beta. This session will drill down into several specific strategies that can offer new ways to control risk and return.
Moderator:
Steven Schoenfeld, BlueStar Indexes
Speakers:
Michael Akins, ALPS Portfolio Solutions
Joanne Hill, ProShares
Lukas Smart, Dimensional Fund Advisors
(Sponsored by John Hancock Investments)

SESSION B
Generating Income With Alts
IMCA and CFP Board CE Credit Approved
A look at strategies that can help your clients diversify while also generating income.
Moderator:
Michael Zmistowski, Financial Planning Advisors
Speakers:
Daniel Vetter, Money 360
Mike Fardy, FlexShares
Shai Vichness, TIAA Global Asset Management

11:05 am - 11:40 am
Networking Break With Exhibitors

11:40 am - 12:30 pm
SESSION A
Accessing And Allocating Alternatives
IMCA and CFP Board CE Credit Approved
You may know why you should use alternative investments in an asset-allocation model, but are unsure of how large of an allocation to make in the average client's portfolio. Which strategies make the most sense to gain exposure yet remain simple so the client can understand the investment? ETFs? Mutual funds? Panelists also discuss investment minimums, fees and liquidity issues associated with alternatives, as well as the solutions, including feeders, intermediaries and platforms. Come learn what makes the most sense for you and your clients.
Moderator:
Brian Haskin, Alternative Strategy Partners
Speakers:
Greg Horn, AlphaHedge Capital Partners
Dave Perkins, Hatteras Funds
Tom Gatto, Artivest

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11:40 am - 12:30 pm
SESSION B
Arbitrage Strategies
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Newer trends in investing have created more opportunities to capitalize on price differences, but some strategies are more risky than others. Panelists explain how they recognize inefficiencies and apply them to investment choices.
Moderator:
Floyd Tyler, Preserver Partners
Speakers:
Peter Lupoff, Balter Capital Management
Roger Foltynowicz, The Arbitrage Fund

12:30 pm - 2:00 pm
Lunch Keynote
George Will
Sponsored by

FS INVESTMENTS

2:00 pm - 3:00 pm
Keynote
John Mauldin
A New Approach to Portfolio Construction And Diversification: How John Mauldin Is Managing Client Money Today
CFP Board CE Credit Approved
This is a hands-on seminar and the first time that John will reveal his new specific portfolio methodology, with the serious twist of how to create your own liquid alternative portfolio, for meeting the challenges of The Coming Age of Disruption. This you don't want to miss!

3:00 pm – 4:00 pm
SESSION A
How To Position And Implement Private Investments To Differentiate And Grow Your Practice.
IMCA CE Credit Approved
As a practitioner within the financial advisory industry, you face tremendous competitive pressures that serve as formidable barriers to your growth and ultimately threaten your survival. Private investment vehicles can be a key differentiator in your pursuit of higher net worth clients provided:
- You're armed with the diligence tools necessary to objectively assess the value those vehicles may add to your client's portfolio
- You're prepared to handle the logistics associated with the implementation of these vehicles
- You understand how to position these vehicles in conversation with prospective clients.

During this fast paced session, Kristofor R. Behn, CFP Founder and CEO of Boston, Massachusetts based Fieldstone Financial and William J. Militello, CEO of Leesburg, Virginia based Militello Capital will assist you in leveraging the knowledge you have obtained throughout the conference and provide you with a plan of attack including both due diligence and execution. This session is designed to specifically support advisors with an insatiable desire to grow their revenue while helping clients modernize their investment portfolios.
Moderator:
Hanna Grove, iCapital Network
Speakers:
Kristofor Behn, Fieldstone Financial
William Militello, Militello Capital

SESSION B
Technical Analysis: Taking The Emotion Out Of The Equation
IMCA CE Credit Approved
For nearly 30 years, Dorsey, Wright & Associates was at the forefront of Smart Beta strategies as the leader in Point & Figure Charting, Relative Strength Analysis, and numerous other tools to analyze market data and deliver actionable insights for financial advisors. In this session, Tom Dorsey will discuss technical analysis, how it can be applied to various products, and what tools are available in the market to take the emotion out of daily investment decisions.
Speakers:
Tom Dorsey, Dorsey, Wright & Associates, a Nasdaq Company

4:00 pm
Conference Ends