Inside Alternatives 2017 Conference

October 22

7:00 pm - 9:00 pm
Pre Conference Reception

Agenda | October 23

7:15 am - 8:00 am
Registration And Breakfast In Exhibit Hall

8:00 am - 8:10 am
Opening Remarks And Chairperson Welcome

8:10 am - 9:00 am
Sustainability, Vice And Beyond: Investing In The Trump Era
CFP Board and IMCA CE Credit Approved
Sustainability is increasingly displacing socially responsible investing as a favored style, while certain out-of-favor industries labelled vice are performing as the economic and political worlds experience major disruptions. Three leading money managers will examine how these changes are affecting the markets.
MODERATOR: **Evan Simonoff**, *FA* magazine
SPEAKERS: **Jeff Davis**, LMCG Investments
Jerome Dodson, Parnassus
David Winters, Wintergreen Funds

9:05 am - 9:55 am
SESSION A
Multi-Alternative Strategies
CFP Board and IMCA CE Credit Approved
Many advisors want access to alternative investments but prefer to delegate the decision on how to allocate assets in this space to experienced professionals. In this session, several experts will explain how they combine various alternative strategies to provide clients with both alpha and uncorrelated returns.
MODERATOR: **Keith Black**, CAIA Association
SPEAKERS: **Adam Blitz**, Evanston Capital
Brian Murphy, Vivaldi Asset Management LLC
Rob Swan, Swan Global Investments

SESSION B
Alternative Income Products
CFP Board and IMCA CE Credit Approved
It increasingly appears that the low-interest-rate environment of the past decade could last a lot longer than many experts expected. With more clients entering retirement, advisors need to understand the pros and cons of alternatives designed to address the income problem. In this session, several professionals will explore a variety of investment vehicles in this space.
MODERATOR: **Rick Lake**, Lake Partners
SPEAKERS: **Cleo Chang**, American Century
Jack Chee, Litman Gregory
David Wright, Sierra Investment Management, Inc.

IMPACT/SRI & ESG INVESTING SESSION
Origins
CFP Board and IMCA CE Credit Approved
The shift from philanthropy to profit-making.
How impact, ESG, SRI and ethical ETFs began and are flourishing.
SPEAKERS: **Steve Schueth**, First Affirmative
Joan Trant, TriLinc Global

10:00 am - 10:30 am
Morning Network Break In Exhibit Hall

10:35 am - 11:25 am
SESSION A
Alternative Credit Strategies
CFP Board and IMCA CE Credit Approved
Alternative strategies can provide clients with yield many more traditional fixed-income asset classes can't. This session will examine opportunities in the credit arena that can be an important part of fixed-income allocations.
MODERATOR: **Tim Leary**, BlueBay Asset Management
SPEAKERS: **Brett Klein**, Colony NorthStar, Inc.
Aaron Peck, Monroe Capital
Dan Vetter, Money360 Inc.

SESSION B
New Ways To Deploy Factor Strategies
CFP Board and IMCA CE Credit Approved
Factor strategies are assuming a role of increased importance in major institutions' portfolios as research reveals they can outperform traditional indexes. Several professionals will discuss in detail how they are utilizing these vehicles to deliver strong returns.
MODERATOR: **Robert D. Nestor**, BlackRock iShares
SPEAKERS: **Joe Hohn**, Dimensional Fund Advisors LP
Abdur Nimeri, Flexshares

IMPACT/SRI & ESG INVESTING SESSION
Segregations
CFP Board and IMCA CE Credit Approved
Good money for good returns and good money for good causes. Divvying the sectors where impact has taken hold.
SPEAKERS: **Ben Allen**, Parnassus Investments
Matt Blume, Appleseed
Bob Smith, Sage Advisory

11:30 am - 12:20 pm
SESSION A
Risk Arbitrage And Event-Driven Investing
CFP Board and IMCA CE Credit Approved
Mergers and acquisitions remain a key strategy for multi-national corporations, and investing in these transactions offers clients lucrative returns. Although more than 94% of announced deals are completed, regulatory delays and negotiations may make the timing tricky. In this session, several experts will examine how they deliver differentiated returns to clients.
MODERATOR: **Evan Simonoff**, *FA* magazine
SPEAKERS: **Roy D. Behren**, Westchester Capital Management LLC
Ted Chen, Water Island Capital
Chris Pultz, Kellner Capital

SESSION B
Volatility Strategies In An Uncertain World
CFP Board and IMCA CE Credit Approved
Volatility is an unavoidable reality in today's fast-moving markets, and clients are expecting near and long-term solutions from their advisors. Our panelists offer an expert view into new and innovative ways to help advisors manage volatility as an asset class and smooth portfolio performance in even the most turbulent times.
MODERATOR: **Eric Cott**, The Options Industry Council
SPEAKERS: **Anthony Caine**, LJM Funds Management
George Matthews, Analytic Investors, LLC
James Velissaris, Infinity Q Capital Management

IMPACT/SRI & ESG INVESTING SESSION
Women & Investing
IMCA Only CE Credit Approved
Gender and equality make for good financial bedfellows.
MODERATOR: **Perth Tolle**, Life + Liberty Indexes
SPEAKERS: **Megan Fielding**, TIAA Investments
Joan Trant, TriLinc Global

12:20 pm - 1:30 pm

LUNCH KEYNOTE

Dr. Dambisa Moyo, Global Economist
CFP Board and IMCA CE Credit Approved

The world today is increasingly volatile, and the political and economic models that served as foundations for the last century are no longer adequate. Persistent low economic growth and declining globalization and trade continue to plague the global economy and marketplace. With the recent Brexit, inclusive policies that foster economic growth and improve living standards will be crucial to economic prosperity and human progress. Dr. Moyo will discuss the future of the global economy, global markets and investment opportunities in the context of these shifting political dynamics and geopolitics.

1:30 pm - 2:05 pm

Dessert Break With Exhibitors

2:10 pm - 3:00 pm

SESSION A

Private Equity: A Rare Way To Access Value

CFP Board and IMCA CE Credit Approved
With public equities setting daily records eight years into a bull market, many advisors are looking for new ways to find less expensive investment vehicles for clients. Learn about this asset class, which can enable you to access equity for clients at more attractive prices.

MODERATOR: **Doug Keller**, Pantheon
SPEAKERS: **Bill Dodson**, Pomona Capital
Jeremy Held, ALPS Portfolio Solutions
Michael G. Ricciardi, Mercury Capital Advisors

SESSION B

Alternative ETFs For Low Cost Diversification

CFP Board and IMCA CE Credit Approved
With equity valuations high and bond yields low, advisors are looking to alternative investments for diversification. But some advisors consider alternatives to be expensive. Today, there is a new class of ETFs that seek to deliver alternative strategies at lower costs. An expert will provide an overview of the growth of alternative ETFs, and will interview innovative managers for their insights: How do they structure and manage their portfolios? How may the risk/return characteristics of alternative ETFs help advisors enhance portfolio diversification?

MODERATOR: **Rick Lake**, Lake Partners
SPEAKERS: **Sal Bruno**, NYLIM/IndexIQ
Mario Manfredi, First Trust Advisors
Tripp Zimmerman, WisdomTree

IMPACT/SRI & ESG INVESTING SESSION

ESG & Risk

CFP Board and IMCA CE Credit Approved
How to evaluate risk and maintain portfolio objectives.

SPEAKERS: **Craig Churman**, Saturna
Patrick Drum, Saturna
Scott Klimo, Saturna

3:05 pm - 3:55 pm

SESSION A

Risk Parity, A Novel Approach To Asset Allocation

CFP Board and IMCA CE Credit Approved
A popular strategy in the institutional pension world, risk parity portfolios equalize risk allocation by overweighting low volatility asset classes and using leverage when appropriate. Hear experts explain why many view them as complements to 60/40 portfolios with higher Sharpe ratios and strong diversification benefits.

MODERATOR: **Ken Shaw**, Envestnet | PMC
SPEAKERS: **Paul Brennan**, First Quadrant
Rob Croce, Salient Partners
Dan Villalon, AQR

3:05 pm - 3:55 pm

SESSION B

Managed Futures And Global Uncertainty

CFP Board and IMCA CE Credit Approved
In a world where global uncertainty is increasing, managed futures offer clients very low correlation with traditional asset classes and can help minimize downside risk. Learn how a number of leading strategists are using a variety of asset classes, including currencies, futures and commodities, to produce a different stream of returns.

MODERATOR: **Jerry Szilagyi**, Catalyst Funds
SPEAKERS: **Marty Bergin**, DUNN Capital
Alan Dunne, Abbey Capital
Larry Kissko, Man AHL
Dr. Rufus Rankin, Equinox Funds

IMPACT/SRI & ESG INVESTING SESSION

Impact Measurement

CFP Board and IMCA CE Credit Approved
Do qualitative goals affect quantitative results?

SPEAKERS: **Paul Hilton**, Trillium, *Sponsored by John Hancock Investments*
Abdur Nimeri, NT/Flexshares

4:00 pm - 4:35 pm

Afternoon Networking Break With Exhibitors

4:40 pm - 5:30 pm

SESSION A

A New Era For Energy Investing

CFP Board and IMCA CE Credit Approved
At the same time as horizontal drilling alters the conventional energy business, other new technologies are bringing down the costs for alternative energy sources. The upshot is that disruption creates opportunities. In this session, several experts will discuss where the best investments can be found.

MODERATOR: **Michael Underhill**, Capital Innovations
SPEAKERS: **Kevin Baum**, USCF
Arthur Budge, Jr., Five States Energy Group

SESSION B

Long-Short Equity: The Time Has Come

CFP Board and IMCA CE Credit Approved
Investment choices have opened up around the world, but it takes a skilled manager to evaluate them, especially in such a volatile world market. Our panel will discuss domestic and global opportunities and strategies.

MODERATOR: **Evan Simonoff**, *FA* magazine
SPEAKERS: **Jeffrey Hirsch**, Probabilities Fund Management, LLC
Ali Motamed, Invenomic Capital Management LP
Greg Swenson, Leuthold Weeden Capital Management

IMPACT/SRI & ESG INVESTING SESSION

The Future Of Things

CFP Board and IMCA CE Credit Approved
Cut to the year 2040. From an impact POV, what does the world look like?

SPEAKERS: **Jeremy Feakins**, Ocean Thermal Energy Corp.
Abhilash Mudaliar, The Global Impact Investing Network
Will Strong, Virtus Real Estate Capital

5:30 pm - 6:30 pm

Cocktail Reception

October 24

7:15 am - 8:00 am

Registration And Breakfast In Exhibit Hall

7:30 am - 8:00 am

Reinvent Your Practice®

With the commoditization of virtually everything we do, the time has come to take a fresh look at the viability of the advisory practice. During this session, we will take a look at the emerging competitive pressures and provide you with actionable items you can implement within your practice that will help you avoid extinction.

SPEAKER: **Kristofor Behn**, FieldView Technologies

8:00 am - 8:10 am

Chairperson Recap

8:10 am - 9:10 am

Investing Opportunities Over The Next Five Years

CFP Board and IMCA CE Credit Approved

The past eight years have favored financial assets to the point where many believe future returns have been pulled forward. The next five years are likely to look very different. In this session, a highly regarded, long-short manager and a leading strategist will share their views.

MODERATOR: **Evan Simonoff**, *FA* magazine
SPEAKERS: **Joel Greenblatt**, Gotham Capital
Ali Motamed, Invenomic Capital Management
Jim Paulsen, The Leuthold Group

9:15 am - 10:05 am

SESSION A

Commodities In A World Of Synchronized Growth

CFP Board and IMCA CE Credit Approved

In the current eight-year global expansion, many commodity prices have been subdued as growth has been tepid. With most nations now reaching escape velocity, the environment could be changing. In this session, several experts will explore which commodities are best poised for this stage of the cycle.

MODERATOR: **Christopher Robbins**, *FA* magazine
SPEAKERS: **Sal Gilbertie**, Teucrium Trading LLC
Robert B. Hyman, CoreCommodity Mgmt
Trey Reik, Sprott Asset Management

SESSION B

How Long Can Real Estate Keep Running?

CFP Board and IMCA CE Credit Approved

Despite an extended surge in U.S. residential and commercial real estate in many regions, experts see real estate shortages looming in many markets. As a flood of foreign money continues, making REITs their own separate group within the S&P 500 may add to the momentum. Meanwhile, new structures to package real estate investments like interval funds are surfacing. In this session, several experts will debate the underlying fundamentals and discuss what investments offer the best prospects.

MODERATOR: **Dan Jamieson**, *FA* & *PW* magazines
SPEAKER: **Burl East**, American Assets Capital Advisers
Terrell Gates, Virtus Real Estate
Mick Manning, KBS Capital Markets Group

10:10 am – 11:00 am

SESSION A

Advisors Discuss Where Alternatives Fit In Client Portfolios

CFP Board and IMCA CE Credit Approved

Once advisors decide to use alternatives, they need to figure out how to research and select specific investments and determine where they fit in a client's overall asset allocation plan. In this session, several experienced leading advisors will discuss why they use alternatives, how they elect them and what asset classes alternatives replace as a substitute.

MODERATOR: **Joseph B. Childrey**, Probabilities Fund Management, LLC
SPEAKERS: **Bill Carter**, Carter Financial Management
Jeanie Wyatt, South Texas Money Management LTD

10:10 am – 11:00 am

SESSION B

BDCs And Other Income Strategies

CFP Board and IMCA CE Credit Approved

Business development companies primarily lend to small- and mid-sized firms and pay out 90% of their income and many provide very attractive yields for investors. However, not all BDCs are the same or offer the same quality of investments. Learn what to look for in evaluating these alternatives.

MODERATOR: **Doug Keller**, Pantheon
SPEAKERS: **Thomas Alonso**, Prospect Capital Corp.
Sean Connor, Owl Rock
Aaron Peck, Monroe Capital

11:00 am – 11:35 am

Morning Networking Break With Exhibitors

11:40 am - 12:30 pm

SESSION A

Tail Risk, Black Swans And Hedging For Uncertainty

CFP Board and IMCA CE Credit Approved

Markets have managed to downplay unexpected events like Brexit and the U.S. election with remarkable confidence in recent years. At some point, however, an unexpected event is likely to disrupt the calm. In this session, several strategists will outline a number of potential scenarios that could create market instability and discuss ways to protect and hedge client portfolios.

MODERATOR: **Christopher Robbins**, *FA* magazine
SPEAKERS: **Steve Cucchiaro**, 3EDGE Asset Mgmt
Jaipal Tuttle, StratiFi

SESSION B

Researching, Accessing And Allocating Alternatives

CFP Board and IMCA CE Credit Approved

The choices that advisors have to access and research alternative investments have grown. Platforms for liquid alts and private equity make it easier to create custom funds, and offer advisors options for research and client strategies that were unavailable just a few years ago.

MODERATOR: **Alma Piscitello**, Gemini Fund Services LLC, Northern Lights Distributors LLC
SPEAKERS: **Ben Deschaine**, Balter Liquid Alternatives
Dominick Paoloni, IPS Strategic Capital
Sonia Spirling, Natixis Global Asset Management

12:30 pm – 2:00 pm

LUNCH KEYNOTE

Anthony Scaramucci, SkyBridge Capital
IMCA Only CE Credit Approved

In a wide-ranging, honest, and often humorous talk, Scaramucci discusses the lessons he's learned from his years at the top of the global financial industry, how he applies those lessons to investing and entrepreneurship, and what's next for one of the year's most talked about public figures.

2:00 pm – 3:00 pm

Beyond The Portfolio: How The Singularity, Exponential Technologies And Artificial Intelligence Will Impact Your Life, Your Clients' Lives And The Quality Of Your Advice.

CFP Board and IMCA CE Credit Approved

In this mind-expanding keynote presentation, Bill Bachrach will lead you on an exploration of the future that will guide your strategic and tactical choices for helping your clients get the most value from your work.

SPEAKER: **Bill Bachrach**, TheAdvisorRoadmap.com

3:00 pm

Conference Ends